

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: YAGI et al.  
Docket: 10873.1916USWO  
Title: FLUORESCENT LAMP, LUMINAIRE AND METHOD FOR  
MANUFACTURING FLUORESCENT LAMP

**CERTIFICATE OF TRANSMISSION**

I hereby certify that the papers listed below are being transmitted by EFS Web to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 2, 2006.

By:   
Name: NICOLE LANDREE

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

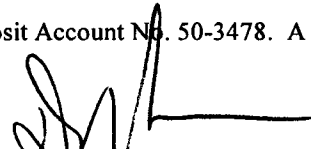
- ☒ Transmittal sheet, in duplicate, containing Certificate under EFS Web.
- ☒ National Stage PCT Patent Application: Spec. 22 pgs; 10 claims (3 pages); Abstract- 1 pg.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 10 sheets of formal drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Please charge deposit account 50-3478 in the amount of \$1100.00 to cover the Filing Fee
- ☒ Information Disclosure Statement, Form 1449, 11 references.
- ☒ Application Data Sheet, 4 pages.
- ☒ Other: Form PTO-1390, translation of the International application into English, International Publication page of WO 2006/011324 A1, Preliminary Amendment, Communication re: Requested Figure, Form PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308, Form PCT/IPEA/237 (in Japanese language).
- ☒ Return postcard

**CLAIMS (AS FILED IN PRELIMINARY AMENDMENT)**

Number of Claims Filed	No.	In Excess of	Extra	Rate	Fee
Total Claims	15	20	0	50.00	= 0.00
Independent Claims	4	3	1	200.00	= 200.00
Multiple Dependent Claims Fee					= 0.00
Basic Filing Fee					= 300.00
Search Fee					= 400.00
Examination Fee					= 200.00
Utility Application Size Fee	36	100	0	250.00	= 0.00
Total					= 1100.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
P.O. Box 2902-0902 Minneapolis, MN 55402  
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By:   
Name: Douglas F. Mueller  
Reg. No.: 30,300  
Initials: DPM:nel

**53148**

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

10873.1916USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

UNKNOWN

INTERNATIONAL APPLICATION NO.

PCT/JP2005/011456

INTERNATIONAL FILING DATE

22 JUNE 2005

PRIORITY DATE CLAIMED

30 JULY 2004

TITLE OF INVENTION

FLUORESCENT LAMP, LUMINAIRE AND METHOD FOR MANUFACTURING FLUORESCENT LAMP

APPLICANT(S) FOR DO/EO/US

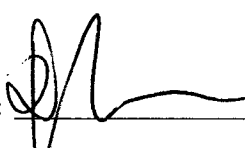
YAGI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 Form 1449, 11 references, copy of International Search Report (Form PCT/ISA/210).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet (4 pages), International Publication Page(s) of WO 2006/011324 A1, Communication re: Requested Figure, Form PCT/ISA/237 (in Japanese language), Form PCT/IB/304, Form PCT/IB/308.

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
UNKNOWN		PCT/JP2005/011456		10873.1916USWO	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b>					
[X] a) Basic National fee.....				\$300.00	\$300.00
[X] b) Examination fee.....				\$200.00	\$200.00
[X] c) Search fee.....				\$500.00	\$400.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		Rate	
36 - 100 =	0 / 50 =			X \$250.00	\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE	
Total claims	15 - 20 = 0			X \$50.00	\$0.00
Independent claims	4 - 3 = 1			X \$200.00	\$200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1100.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$0.00	
<b>SUBTOTAL =</b>				\$1100.00	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$1100.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$1100.00	
				Amount to be: refunded	\$0.00
				charged	\$0.00
<p>a. [ ] Check(s) in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. [X] Please charge my Deposit Account No. 50-3478 in the amount of \$ 1100.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-3478</u>.</p>					
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>					
<p>SEND ALL CORRESPONDENCE TO:  DOUGLAS P. MUELLER  Hamre, Schumann, Mueller &amp; Larson, P.C.  P.O. Box 2902-0902  Minneapolis, MN 55402</p>				<p>SIGNATURE: </p> <p>NAME: DOUGLAS P. MUELLER  REGISTRATION NUMBER: 30,300</p>	